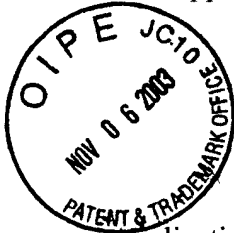


1761

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Paul McGrane; George W. Borden,  
Michael T. Wuesthoff, James M.  
Anderson, John Teixeira, Gary J.  
Flynn, Pankaj Shah,  
George W. Borden

Group Art Unit: 1761

Application No.:

09/845,233

Examiner:

Not Yet Assigned

Filed:

April 30, 2001

For:

Method For the Direct Esterification  
of Sorbitol With Fatty Acids

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**COMMUNICATION**

Dear Sir:

Pursuant to the telephonic agreement with the Examiner, enclosed are fresh copies of the PTO-1449 forms previously submitted, along with copies of all foreign references cited therein. The Examiner indicated that he could review the U.S. patents without having the patent copies.

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

**Date:** November 4, 2003

I hereby certify that this paper, along with any document or paper referred to as being attached, is being deposited with the United States Postal Service as first class mail under 37 CFR 1.8 in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Rebekah L. Mitchell

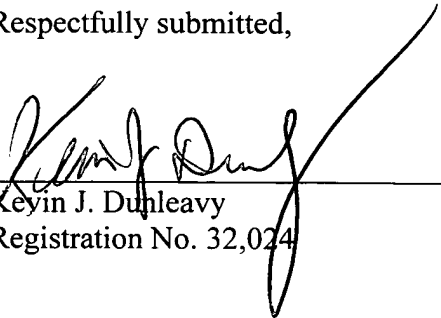
Name of person mailing correspondence

Rebekah L. Mitchell  
Signature of person mailing correspondence

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO 1449.

Respectfully submitted,

Date: November 4, 2003

  
\_\_\_\_\_  
Kevin J. Dunleavy  
Registration No. 32,024

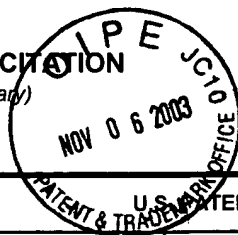
KNOBLE & YOSHIDA, LLC  
Eight Penn Center, Suite 1350  
1628 John F. Kennedy Blvd.  
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Tel: (215) 599-0600  
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E-mail: [KJDunleavy@patentwise.com](mailto:KJDunleavy@patentwise.com)

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>DANI-0005USCON</b>		SERIAL NO. <b>09/845,233</b>	
				<b>Weusthoff, et al.</b>			
				FILING <b>4/30/01</b>		GROUP <b>1761</b>	
<b>PATENT DOCUMENTS</b>							
**EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,368,213	1/11/83	Hollenbach et al.			
	AB	4,461,782	7/24/84	Robbins et al.			
	AC	4,517,360	5/14/85	Volpenhein			
	AD	4,883,684	11/28/89	Yang			
	AE	4,931,552	6/5/90	Gibson et al.			
	AF	4,952,687	8/28/90	Bodor et al.			
	AG	5,055,571	10/8/91	Van Lookeren			
	AH	5,130,151	7/14/92	Averbach			
	AI	5,194,281	3/16/93	Johnston et al.			
	AJ	5,211,979	5/18/93	Bruijne et al.			
	AK	5,239,097	8/24/93	Barkey Wolf et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	EA	WO 92/04360	3/19/92	PCT			
	EB	EP 0 233 856 B1	1/5/94	Europe			
	EC	EP 0 233 856 A2	2/19/87	Europe			
	ED	EP 0 290 420 B1	1/12/94	Europe			
	EE	EP 0 290 420 A2	5/6/88	Europe			
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AL	5,250,155	10/5/93	Zwanenburg et al.			
	AM	5,306,515	4/26/94	Letton et al.			
	AN	5,366,753	11/22/94	Meyer et al.			
	AO	5,419,925	5/30/95	Seiden et al.			
	AP	5,422,131	6/6/95	Elsen et al.			
	AQ	5,472,728	12/5/95	Miller et al.			
	AR	5,532,019	7/2/96	Miller et al.			
	AS	5,536,524	7/16/96	Miller			
	AT	5,580,966	12/3/96	Buter et al.			
	AU	5,587,196	12/24/96	Mehnert et al.			
	AV	5,534,284	7/9/96	Corrigan et al.			

## FOREIGN PATENT DOCUMENTS

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	EF	0 290 420 A3	5/6/88	Europe				
	EG	WO 94/09637	5/11/94	PCT				
	EH	WO 94/09640	5/11/94	PCT				
	EI	EP 0 290 421 A3	5/6/88	Europe				
	EJ	EP 0 290 421 A2	5/6/88	Europe				

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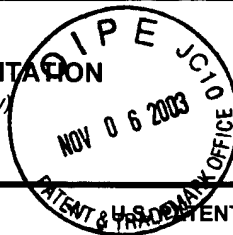

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	AW	5,648,483	7/15/97	Granberg et al.			
	AX	5,767,257	6/16/98	Schafermeyer et al.			
	AY	5,039,544	8/13/91	Lansbergen et al.			
	AZ	5,043,438	8/27/91	Buter			
	BA	5,064,677	11/21/91	Cain et al.			
	BB	5,079,355	1/7/92	Meszaros Grechke et al.			
	BC	5,080,912	1/14/92	Bodenstein et al.			
	BD	5,144,023	9/1/92	Willemse			
	BE	5,225,049	7/6/93	Barmentlo et al.			
	BF	5,231,199	7/27/93	Willemse			
	BG	5,248,509	9/28/93	Bruin			

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	EK	EP 0 290 421 B1	5/6/88	Europe				
	EL	EP 0 311 154 B1	4/1/88	Euorpe				
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	EN	EP 0 311 154 A3	4/1/88	Europe				
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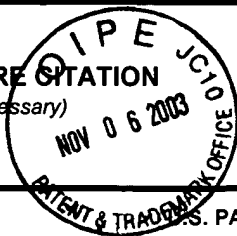
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	BH	4,382,924	5/10/83	Berling et al.			
	BI	4,518,772	5/21/85	Volpenhein			
	BJ	4,705,691	11/10/87	Kupper et al.			
	BK	4,789,664	12/6/88	Seligson et al.			
	BL	4,797,300	1/10/98	Jandacek et al.			
	BM	4,806,632	2/21/89	McCoy et al.			
	BN	4,810,516	3/7/89	Kong-Chan			
	BO	4,822,875	4/18/89	McCoy et al.			
	BP	4,835,001	5/30/89	Mijae et al.			
	BQ	4,880,657	11/14/89	Guffey et al.			
	BR	4,883,684	11/28/89	Yang			

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	EQ	EP 0 271 963 B1	12/16/87	Europe				
	ER	EP 0 271 963 A2	12/16/87	Europe				
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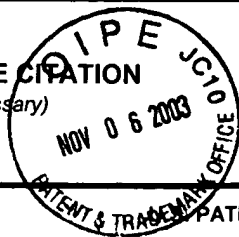

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	BS	4,940,601	7/10/90	Orphanos et al.			
	BT	4,942,228	7/17/98	Gibson			
	BU	4,960,602	10/2/90	Talkington et al.			
	BV	4,962,092	10/9/90	Wood, Jr.			
	BW	5,006,360	4/9/91	Howard et al.			
	BX	5,017,398	5/21/91	Jandacek et al.			
	BY	5,021,256	6/4/91	Guffey et al.			
	BZ	5,061,503	10/29/91	Kong-Chang et al.			
	CA	5,061,504	10/29/91	Kong-Chang et al.			
	CB	5,071,669	12/10/91	Seiden			
	CC	5,084,295	1/28/92	Whelan et al.			

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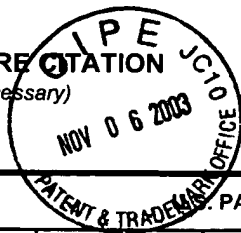
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	EW	EP 0 424 066 A2	10/15/90	Europe				
	EX	EP 0 424 066 A3	10/15/90	Europe				
	EY	EP 0 550 526 B1	8/29/91	Europe				

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CD	5,102,683	4/7/92	Letton et al.			
	CE	5,124,301	6/23/92	Wyness et al.			
	CF	5,147,675	9/15/92	Gage et al.			
	CG	5,158,796	10/27/92	Bernhardt et al.			
	CH	5,236,733	8/17/93	Zimmerman et al.			
	CI	5,306,514	4/26/94	Letton et al.			
	CJ	5,306,516	4/26/94	Letton et al.			
	CK	5,308,640	5/3/94	Baer et al.			
	CL	5,527,866	6/18/96	Corrigan et al.			
	CM	5,534,284	7/9/96	Corrigan et al.			
	CN	5,767,257	6/16/98	Schafermeyer et al.			

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	EZ	WO 98/03525	1/29/98	PCT				
	FA	WO 98/03527	1/29/98	PCT				
	FB	WO 98/06731	2/19/98	PCT				
	FC	WO 98/21219	5/22/98	PCT				
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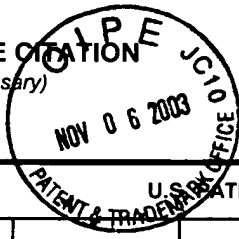
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CO	5,314,707	5/24/94	Kester et al.			
	CP	5,318,790	6/7/94	Houston et al.			
	CQ	5,370,892	12/6/94	El-Nokaly et al.			
	CR	5,451,416	9/19/95	Johnston et al.			
	CS	5,480,667	1/2/96	Corrigan et al.			
	CT	5,491,226	2/13/96	Kenneally			
	CU	5,559,226	9/24/96	Sarama			
	CV	4,611,055	9/9/86	Yamamoto et al.			
	CW	4,334,061	6/8/82	Bossier, III			
	CX	5,504,202	4/2/96	Hutchinson			
	CY	5,474,795	12/12/95	Surber et al.			

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	FE	EP 0 256 585 A1	7/23/87	Europe				
	FF	EP 0 350 981 B1	6/21/89	Europe				
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	FH	EP 0 350 986 B1	6/27/89	Europe				
	FI	EP 0 350 986 A1	6/27/89	Europe				

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	CZ	5,486,372	1/23/96	Martin et al.			
	DA	5,518,754	5/12/96	Miller et al.			
	DB	5,596,085	1/21/97	Silver et al.			
	DC	5,681,948	10/28/97	Miller et al.			
	DD	5,378,478	1/3/95	Miller et al.			
	DE	5,440,027	8/8/95	Hasenhuettl			
	DF	4,973,682	11/27/90	Willemse			
	DG	4,968,791	11/6/90	Van Der Plank			
	DH	4,954,621	9/4/90	Massoka et al.			
	DI	4,973,681	11/27/90	Watanabe			
	DJ	4,877,871	10/31/89	Klemann et al.			

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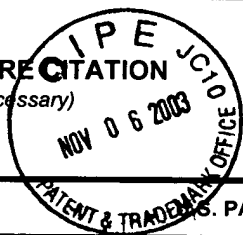
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DK		5,527,866	6/18/96	Corrigan et al.			
DL		5,085,884	2/4/92	Young et al.			
DM		4,705,690	11/10/87	Brand et al.			
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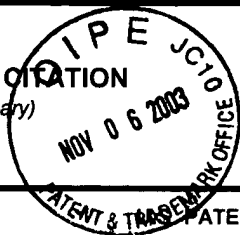
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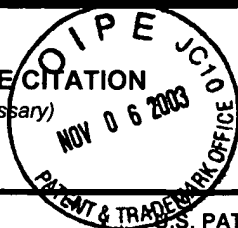
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	GI	EP 0 383 380 B1	2/8/90	Europe				
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	GK	EP 0 .398 409 B1	3/5/90	Europe				
	GL	EP 0 424 066 B1	10/15/90	Europe				
	GM	EP 0 548 272 B1	8/3/91	Europe				

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	GR	CA 2006132	12/20/89	Canada *Abstract enclosed				

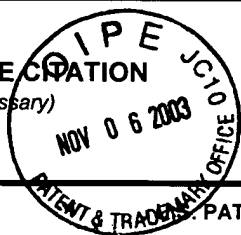
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	GS	CA 1185610	6/21/82	Canada				
	GT	WO 97/00618	1/9/97	PCT				
	GU	WO 93/22932	11/25/93	PCT				
	GV	WO 92/10941	7/9/92	PCT				
	GW	JP 5-125389	5/21/93	Japan *Abstract enclosed				


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